



AA

Seator\$  
#3

Docket No. AMS-011A

For A Small Entity

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gerald NMI Dorros et al.  
Application No. : 09/972,225  
Confirmation No.: 4566  
Filed: : October 4, 2001  
For: : APPARATUS AND METHODS FOR TREATING STROKE AND  
CONTROLLING CEREBRAL FLOW CHARACTERISTICS  
Group Art Unit : 3763  
Hon. Commissioner  
for Patents  
**Box Missing Parts**  
c/o P. O. Box 2327  
Arlington, Virginia 22202

RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the November 7, 2001 Notice to File Missing Parts of Application, applicants submit herewith three (3) executed Declarations and Powers of Attorney, a copy of the Notice to File Missing Parts and a check in the amount of \$65.00.

Applicants also submit herewith twenty(20) sheets of formal drawings in compliance with 37 C.F.R. § 1.84 to replace the drawings originally filed in the above-identified patent application.



The Commissioner is hereby authorized to charge payment of any additional fees due in connection with the papers transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this response is enclosed.

Respectfully submitted,

I Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 12/20/01

Matt Caretto  
Name of Person Signing Certificate

Matt Caretto  
Signature of Person Signing Certificate

12/20/01  
Date of Signature

Nicola A. Pisano  
Registration No. 34,408  
Attorney for Applicants

c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York New York 10020  
Tel.: (650) 617-4018



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,225	10/04/2001	Gerald N. Dorros	AMS-011A

CONFIRMATION NO. 4566

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

## FORMALITIES LETTER



\*OC000000007039483\*

Date Mailed: 11/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

12/28/2001 HBERHE 00000030 09972225

01 FC:205

65.00 OP